

Dyfed Pension Fund

Markets in Financial Instruments Directive II (MiFID II)

Since the Pension Committee on 21st September 2017, applications have been submitted by Carmarthenshire County Council as administering authority for the Dyfed Pension Fund to opt up to Professional Client status for MiFID II purposes (as shown below).

The Dyfed Pension Fund was required to establish a Legal Entity Identifier number (LEI). This was set up in October 2017. Dyfed Pension Fund's LEI is: 549300H9T06XDBE5GN14.

Company Name	Role	Application Status	Notes
BlackRock	Investment Manager	Application submitted October 2017	Awaiting response.
Schroders	Investment Manager	Application submitted October 2017	Schroders intends to categorise the Dyfed Pension Fund as a professional investor. Awaiting confirmation.
Partners Group	Investment Manager	Application submitted October 2017	Awaiting response.
Baillie Gifford	Investment Manager	Application submitted October 2017	Do not see any problems with opt up process. Awaiting confirmation.
Columbia Threadneedle	Investment Manager	Application submitted October 2017	Do not see any problems with opt up process. Awaiting confirmation.
Northern Trust	Custodian	Application submitted October 2017	Opt up complete.
Mercer	Actuary	No application submitted	No opt up necessary as they do not provide authorised investment advice.
Hymans Robertson	Wales Pension Partnership Consultant	Application submitted November 2017	Opt up complete.